

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

RECEIVED

MAY 12 2004

Examiner: David W. Scheuermann

OFFICE OF PETITIONS

Group Art Unit: 2834

James T. Casey et al.

Serial No.: 09/934,167

Filed: August 20, 2001

For: SPOKE CONSTRUCTION FOR AN AC GENERATOR'S
TWO-PIECE EXCITER DIODE WHEEL

Docket No.: 55771/102/101

NOTIFICATION OF ERROR IN ASSERTION OF
SMALL ENTITY STATUS (37 CFR § 1.28(c))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an enveloped addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 6th day of May, 2004.

By: Laurie E. Gentrup
Laurie E. Gentrup

Sir:

1. On August 20, 2001, a small entity assertion was erroneously filed in the application.
2. This assertion of small entity status in this application was made in good faith.
3. It has been discovered that the assertion of small entity status was erroneous.
4. We are enclosing a check for \$488.00 to cover the outstanding filing and extension fees for large entity status. Details and itemization of the deficiency payment are outlined below:

<u>Payment Date</u>	<u>Type of Fee</u>	<u>Amount Paid</u>	<u>Deficiency Owed</u>
08/20/2001	Filing Fee	\$355.00	\$415.00
08/20/2001	2 Claims in Excess of 20	\$18.00	\$ 18.00
07/16/2003	1 Month Extension of Time	\$55.00	\$ 55.00

05/12/2004 AWONDAF1 00000023 09934167

01 FC:1001 770.00 OP
02 FC:1202 36.00 OP
03 FC:1251 110.00 OP

Total Deficiency Owed: \$488.00


Please charge any deficiencies or credit any over payment to
Deposit Account 14-0620.

Respectfully submitted,

James T. Casey et al.

By their attorney

Date 5/6/04



Edward L. Schwarz

Reg. No. 25,652

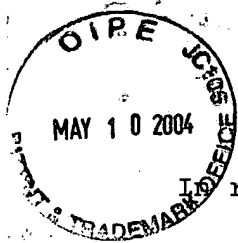
NAWROCKI, ROONEY & SIVERTSON, P.A.

Suite 401, Broadway Place East

3433 Broadway St. N.E.

Minneapolis, MN 55413

(612) 331-1464



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TRANSMITTAL SHEET

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

MAY 12 2004

OFFICE OF PETITIONS

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence and the documents described herein are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 6th day of May, 2004
By: Laurie E. Gentrup
Laurie E. Gentrup

We are transmitting herewith the attached:

[] Checks in the amount of \$ 1660.00 & \$488.00 are enclosed.

[] Applicant claims small entity status under 37 CFR § 1.27.

[XXXX] Other: Part B - Fee(s) Transmittal, Notification of Error in Assertion of Small Entity Status and Postcard.

[XXXX] Please charge any deficiencies or credit any over payment in the enclosed fees to Deposit Account 14-0620.

Date: 5/6/04

By:

Edward L. Schwarz
Edward L. Schwarz

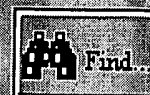
Reg. No. 25,652

NAWROCKI, ROONEY & SIVERTSON, P.A.
Suite 401, Broadway Place East
3433 Broadway Street N.E.
Minneapolis, Minnesota 55413
Telephone: (612) 331-1464
Facsimile: (612) 331-2239

Transaction List

Search by:

☐ Accounting Date: Operator ID:
(MMDDYY)



☒ Name/Number: Starts: Ends:
☐ Attny Docket No:
☐ Deposit Account No:

Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
05/12/2004	AWONDAF2	6	SALE	8001	A	30.00	09934167	
05/12/2004	AWONDAF2	5	SALE	1504	A	300.00	09934167	
05/12/2004	AWONDAF2	4	SALE	1501	A	1,330.00	09934167	
07/22/2003	SZEWDIE1	150	SALE	2251	A	55.00	09934167	
08/28/2001	TDIAZ1	121	SALE	581	A	40.00	09934167	
08/24/2001	EHAILE1	78	SALE	203	A	18.00	09934167	
08/24/2001	EHAILE1	77	SALE	201	A	355.00	09934167	



OK

Adjustment Detail

Authorization Detail



Adjustment date: 05/12/2004 AWONDAF1
08/24/2001 EHAILE1 00000034 09934167
01 FC:201 -355.00 OP
02 FC:203 -18.00 OP

Adjustment date: 05/12/2004 AWONDAF1
07/22/2003 SZEWDIE1 00000118 09934167
01 FC:2251 -55.00 OP